

22386 U.S. PTO  
10/667017  
09/17/03

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Att rn y Doc k t No. 003375.P018  
(maximum 12 characters)

First Named Inventor Ralf Schmid

Title: ELECTRIC MACHINE DESIGNED AS A STARTER, GENERATOR  
OR STARTER-GENERATOR FOR A MOTOR VEHICLE

Express Mail Label No. EV 336589485 US

**ADDRESS TO:** Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  **Fee Transmittal Form (e.g., PTO/SB/17)**  
(Submit an original and a duplicate for fee processing)
2.  **Applicant Claims Small Entity Status (37 CFR 1.27)**
3.  **Specification (Total Pages 46)**  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  **Drawing(s) (35 USC 113) (Total Sheets 9)**
5.  **Oath or Declaration (Total Pages 4)**
  - a.  Newly Executed (Original or Copy)
  - b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 18 completed)
    - i.  DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
    - c.  Unsigned
6.  **Application Data Sheet (37 CFR 1.76)**
7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statement verifying identity of above copies

## **ACCOMPANYING APPLICATION PARTS**

9. \_\_\_\_\_ **Assignment Papers (cover sheet & document(s))**

10. \_\_\_\_\_  
\_\_\_\_\_ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)  
\_\_\_\_\_ b. Power of Attorney

11. \_\_\_\_\_ English Translation Document (if applicable)

12. \_\_\_\_\_  
\_\_\_\_\_ a. Information Disclosure Statement (IDS)/PTO-1449 (or PTO/SB/08)  
\_\_\_\_\_ b. Copies of IDS Citations

13. \_\_\_\_\_ **Preliminary Amendment**

14.  **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**

15. \_\_\_\_\_ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. \_\_\_\_\_ **Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**

17A. \_\_\_\_\_ Other: \_\_\_\_\_

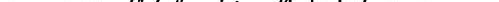
17B. X Pursuant to 37 C.F.R. 1.136(a)(3), Applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 C.F.R. 1.16 and 1.17, to Deposit Account No. 02-2666.

**18A. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet Under 37 C.F.R. 1.76:**

**Continuation**      **Divisional**      **Continuation-in-part (CIP)**  
**Of Prior Application No.:** \_\_\_\_\_ **Examiner** \_\_\_\_\_ **Group Art Unit** \_\_\_\_\_  
(which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_,  
which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_) (List entire chain of priority)

**Applicant(s):** Also include a Preliminary Amendment to amend the specification to claim priority.  
**For CONTINUATION AND DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19.	Correspondence Address Customer Number or Bar Code Label	(Insert Customer No. or Attach Bar Code Label here)			
	or				
<input checked="" type="checkbox"/>	Correspondence Address Below				
NAME	Stephen M. De Klerk				
ADDRESS	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP 12400 Wilshire Boulevard Seventh Floor				
CITY	Los Angeles	STATE	California	ZIP CODE	90025-1026
Country	U.S.A.	TELEPHONE	(408) 720-8300	FAX	(408) 720-8383

Name (PRINT/TYPE): Stephen M De Klerk Registration No.: 46,503  
Signature:  Date: September 17, 2003

1  
16558 U.S.  
PTO  
09/17/03

### FEE TRANSMITTAL FOR FY 2003

TOTAL AMOUNT OF PAYMENT (\$) \$840.00

Complete if Known:

Application No. Not Yet Assigned  
 Filing Date Herewith  
 First Named Inventor Ralf Schmid  
 Group Art Unit Not Yet Assigned  
 Examiner Name Not Yet Assigned  
 Attorney Docket No. 003375.P018

METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 02-2666  
 Deposit Account Name

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27.

2.  Payment Enclosed:  Check  
 Money Order  
 Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity				
Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)	Utility application filing fee	\$750.00
1001	750	2001	375	Design application filing fee	
1002	330	2002	165	Plant filing fee	
1003	520	2003	260	Reissue filing fee	
1004	750	2004	375	Provisional application filing fee	
1005	160	2005	80		
SUBTOTAL (1)					<u>\$750.00</u>

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims <u>25</u>	<u>- 20** = 5</u>	<u>X \$ 18.00</u>	<u>= \$ 90.00</u>
Independent Claims <u>3</u>	<u>- 3** = 0</u>	<u>X 84.00</u>	<u>= 0.00</u>
Multiple Dependent			

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity Small Entity

Fee	Fee	Fee	Fee	Fee Description
Code	Fee (\$)	Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3 .
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 90.00

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Description	
1051	130	Surcharge - late filing fee or oath	
1052	50	Surcharge - late provisional filing fee or cover sheet	
1053	130	Non-English specification	
1812	2,520	For filing a request for ex parte reexamination	
1813	8,800	Request for inter partes reexamination	
1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	Extension for response within first month	
1252	410	Extension for response within second month	
1253	930	Extension for response within third month	
1254	1,450	Extension for response within fourth month	
1255	1,970	Extension for response within fifth month	
1401	320	Notice of Appeal	
1402	320	Filing a brief in support of an appeal	
1403	280	Request for oral hearing	
1451	1,510	Petition to institute a public use proceeding	
1452	110	Petition to revive unavoidably abandoned application	
1453	1,300	Petition to revive unintentionally abandoned application	
1501	1,300	Utility issue fee (or reissue)	
1502	470	Design issue fee	
1503	630	Plant issue fee	
1460	130	Petitions to the Commissioner	
1807	50	Processing fee/provisional applications; 37 CFR 1.17(q)	
1806	180	Submission of Information Disclosure Stmt.	
8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	For filing a submission after final rejection (see 37 CFR 1.129(a))	
1814	110	Statutory Disclaimer	
1810	750	For each additional invention to be examined (see 37 CFR 1.129(b))	
1801	750	Request for Continued Examination (RCE)	
1802	900	Request for expedited examination of a design application	
1504	300	Publication fee for early, voluntary, or normal pub.	
1505	300	Publication fee for republication	
1803	130	Request for voluntary publication or republication	
1808	130	Processing fee under 37 C.F.R. 1.17(i) (except provisionals)	
1454	1,300	Acceptance of unintentionally delayed claim for priority	
Other fee (specify)			
Other fee (specify)			

SUBTOTAL (3) \$ 0.00

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: Stephen M. De Klerk

Signature: \_\_\_\_\_ Date: September 17, 2003

Reg. Number: 46,503 Telephone Number: (408) 720-8300